# **FILED**

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#### BEFORE THE COMMISSION ON JUDICIAL CONDUCT OF THE STATE OF WASHINGTON

COMMISSION ON JUDICIAL CONDUCT

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27 28 Honorable Kenneth L. Jorgensen Grant County Superior Court Division & C Sts. NW PO Box 37 Ephrata, WA 98823-0037

No. 95-2016-F-60

STATEMENT OF CHARGES

## I. BACKGROUND

The Honorable Kenneth L. Jorgensen ("Respondent") is now and has been since 1992 a Superior Court Judge of Grant County.

On August 2, 1995, Respondent was sent a letter from the Commission on Judicial Conduct informing him that a Verified Statement was filed in accordance with WAC 292-12-010(4) and WAC 292-12-020(2), and that the Commission was pursuing initial proceedings. A Statement of Allegations was enclosed. Thereafter, on December 29, 1995, an Amended Statement of Allegations was provided to Respondent, accompanied by a cover letter in accordance with WAC 292-12-010(4) and WAC 292-12-020(2). On both occasions, the Respondent was invited to respond. Respondent responded to the initial Statement of Allegations on August 16, 1995 and responded to the Amended Statement of Allegations on January 8, 1996.

#### II. FACTS SUPPORTING CHARGES

- A. Conduct in the Court of Judicial Duties
- 1. Failure to avoid impropriety or the appearance of impropriety and failure to perform the duties of his office impartially and diligently.

That in Cause No. 83-3-00290-7, <u>Debbie Rae Cole (f/k/a Debbie Rae Smith)</u>
v. Travis Dean Smith, Respondent received a phone call from petitioner's mother, ex parte, improperly soliciting the quashing of a bench warrant issued by the Honorable

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Evan Sperline, Judge of the Superior Court of Grant County. The file is highlighted throughout with information regarding the Affidavit of Prejudice filed against Respondent on record in the clerk's office. In addition, this record indicates Respondent signed off on this Affidavit on December 7, 1992. [Canons 1<sup>1</sup>, 2(A), 3(A) (4), 3(A) (5)<sup>2</sup>, and 3(D)(1)(a)<sup>3</sup>.]

That between December 3, 1993 and January 11, 1994, the following matter occurred. In Cause No. 93-3-00536-0, Mark Steven Johnson v. Carol Johnson, the petitioner appeared before Respondent pro se, ex parte, without notice to the other party or other party's counsel. It is alleged Respondent unilaterally assumed jurisdiction over a cause of action, ex parte, at the request of the petitioner, based on a personal relationship. This cause of action was already pending before a court in the State of Arizona. It is alleged Respondent had knowledge of said cause of action and thereafter improperly issued an arrest warrant for the respondent named in the Grant County action, a resident of Arizona. It is alleged that, further, Respondent issued a writ of extradition and assisted in advising the petitioner as to the proper method to seek enforcement of said writ in the State of Arizona. Respondent then ordered dismissal of this matter. It is alleged that Respondent refused to consult with Judge William J. O'Neil, Judge of Pinal County, regarding the case pending in Arizona and refused to respond to his efforts to do so until after Respondent closed this case. [Canons 1<sup>4</sup>, 2(A), 2(B), 3(A)(1), 3(A)(4),

<sup>&</sup>lt;sup>1</sup>The Supreme Court, by order of October 9, 1995, made amendments to the Rules of Court effective November 7, 1995, a section of which is the Code of Judicial Conduct [hereinafter CJC]. The previous Code of Judicial Conduct [hereinafter Prev. Code] was in effect and enforced by the Commission on Judicial Conduct since May 18, 1981, the date of the enabling legislation now codified as RCW 2.64. Canon 1 stated that "Judges Should Uphold the Integrity and Independence of the Judiciary".

<sup>&</sup>lt;sup>2</sup>New section, *supra* note 1.

<sup>&</sup>lt;sup>3</sup>Replaces section 3(C)(1)(a) Prev. Code, supra note 1.

<sup>&</sup>lt;sup>4</sup>Prev. Code, supra note 1.

3(A)(5)<sup>5</sup>, and 5(F).]

That Respondent was seen and overheard to have had repeated ex parte contacts with parties and counsel at locations outside of the court and off the record where issues in controversy were discussed and matters of substance appeared to be decided without notice to opposing counsel [Canons 1<sup>6</sup>, 2(A), 2(B), 3(A)(1), 3(A)(4), 3(A)(5)<sup>7</sup>, and 5(F).]

2. Failure to perform adjudicative and administrative responsibilities in a competent fashion.

That Respondent's incompetence and failure to address competency problems have placed an unmanageable burden on the Superior Court Clerk's Office, administrative staff, and Judge Evan Sperline. [Canons 18, 2(A), 3(A)(1) and 3(B)(1).]

That Respondent has been repeatedly observed to be asleep on the bench while court is in session. [Canons 1<sup>9</sup> and 2(A).]

That Respondent has continuously demonstrated a level of competence below minimally accepted standards for one charged with the responsibility of sitting on the Superior Court bench in the State of Washington, as evidenced by the lack of confidence by the practicing bar, Respondent's unacceptability to act as a visiting judge in King County, Asotin County, Adams County, Lincoln County, and Spokane County, and Respondent's demonstrated lack of familiarity with basic Rules of Civil Procedure,

€<sub>Id.</sub>

<sup>8</sup>Id.

9<sub>Id.</sub>

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<sup>5</sup>New section, supra note 1.

<sup>7</sup>New section, supra note 1.

statutory law, and civil law. [Canons 110, 2(A), 3(A)(1) and 3(B)(1).]

That on December 15, 1995 Respondent had ex parte communications with the Grant County Prosecutor in which Respondent was overheard discussing the viability of a plea bargain in Grant County Superior Court Cause No. 95-1-00496-5, <u>State of Washington v. Gregory Paul Roberts</u>. Assigned defense counsel, Tom Earl, was not notified, was not present, and did not consent to this ex parte discussion. [Canons 1<sup>11</sup>, 2(A), 3(A)(4) and 3(A)(5)<sup>12</sup>.]

3. Failure to Disqualify Oneself.

That in Cause No. 93-1-00001-7, State of Washington v. Gary Domenquez, Respondent refused to disqualify himself upon proper motion by defense counsel based upon the fact that the defendant had previously been prosecuted for residential burglary by Respondent. [Canons 1<sup>13</sup>, 2(A), 3(A)(5)<sup>14</sup>, 3(D)(1)(a)<sup>15</sup>, and 3(D)(1)(b)<sup>16</sup>.]

## III. BASIS FOR COMMISSION ACTION

The Commission has determined that probable cause exists for believing that Respondent has violated Canons 1<sup>17</sup>, 2(A), 2(B), 3(A)(1), 3(A)(4), 3(A)(5)<sup>18</sup>, 3(A)(6),

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<sup>10&</sup>lt;sub>ld</sub>

<sup>&</sup>lt;sup>11</sup>Id.

<sup>&</sup>lt;sup>12</sup>New section, supra note 1.

<sup>&</sup>lt;sup>13</sup>Id.

<sup>&</sup>lt;sup>14</sup>New section, supra note 1.

<sup>&</sup>lt;sup>15</sup>See note 3, supra.

<sup>&</sup>lt;sup>16</sup>ld.

<sup>&</sup>lt;sup>17</sup>New section, supra note 1.

<sup>&</sup>lt;sup>18</sup>New section, *supra* note 1.

1	$3(B)(1)$ , $3(D)(1)(a)^{19}$ , $3(D)(1)(b)^{20}$ , and $5(F)$ of the Code of Judicial Conduct, which
2	state:
3	CANON 1
4	Judges Shall Uphold the Integrity and Independence of the Judiciary <sup>21</sup>
5	An independent and honorable judiciary is indispensable to
6 7	justice in our society. Judges should participate in establishing, maintaining, and enforcing high standards of judicial conduct, and shall personally observe those standards so that the integrity and independence of the judiciary will be preserved. The provisions of
8	this Code are to be construed and applied to further that objective.
9	CANON 2
10	Judges Should Avoid Impropriety and the Appearance of Impropriety in All Their Activities
11	
12	<ul> <li>(A) Judges should respect and comply with the law and should act at all times in a manner that promotes public confidence in the integrity and impartiality of the judiciary.</li> </ul>
13	(B) Judges should not allow family, social, or other
14	relationships to influence their judicial conduct or judgment.  Judges should not lend the prestige of judicial office to
15	advance the private interests of the judge or others; nor should judges convey or permit others to convey the
16 17	impression that they are in a special position to influence them. Judges should not testify voluntarily as character witnesses.
18	* * *
19	CANON 3
20	Judges Shall Perform the Duties of Their
21	Office Impartially and Diligently
22	* * *
23	(A) Adjudicative Responsibilities.
24	——————————————————————————————————————
25	<sup>20</sup> Id.
26	<sup>21</sup> New section, <i>supra</i> note 1.
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28	STATEMENT OF CHARGES - 5

1 Judges should be faithful to the law and 2 maintain professional competence in it. Judge should be unswayed by partisan interests, public clamor, or fear of 3 criticism. 4 Judges should accord to every person who is 5 legally interested in a proceeding, or that person's lawyer, full right to be heard according to law, and, except as authorized 6 by law, neither initiate nor consider ex parte or other 7 communications concerning a pending or impending proceeding. Judges, however, may obtain the advice of a disinterested expert on the law applicable to a proceeding 8 before them, by amicus curiae only, if they afford the parties 9 reasonable opportunity to respond. (5) Judges shall perform judicial duties without bias or prejudice.<sup>22</sup> 10 11 Judges should dispose promptly of the business (6)12 of the court. (B) Administrative Responsibilities. 13 14 Judges should diligently discharge administrative responsibilities, maintain professional 15 competence in judicial administration and facilitate the performance of the administrative responsibilities of other judges and court officials. 16 17 Disqualification.<sup>23</sup> (D) 18 19 Judges should disqualify themselves in a proceeding in which their impartiality might reasonably be 20 questioned, including but not limited to instances in which: 21 the judge has a personal bias or prejudice concerning a party, or personal 22 knowledge of disputed evidentiary facts concerning the proceeding; 23 (b) the judge previously served as a 24 25 <sup>22</sup>New section, supra note 1. 26 <sup>23</sup>See note 3, supra. 27 28 STATEMENT OF CHARGES - 6

1 2 3	lawyer or was a material witness in the matter in controversy, or a lawyer with whom the judge previously practiced law served during such association as a lawyer concerning the matter or such lawyer has been a material witness concerning it;
4	* * *
5	CANON 5
6	* * *
7	(F) Practice of Law. Judges shall not practice law.
8 9	Notwithstanding this prohibition, judges may act pro se and may, without compensation, give legal advice to and draft or review documents for members of their families.
10	IV. NOTIFICATION OF RIGHT TO FILE WRITTEN ANSWER
11	In accordance with WAC 292-12-030(5), the Respondent is herewith informed that
12	a written answer may be filed with the Commission to the charges contained in the
13	Statement of Charges within 21 days after the date of service. If Respondent does not
14	file a written answer, a general denial will be entered on his behalf. The Statement of
15	Charges and answer shall be the only pleading required.
16	DATED this, 1996
17	COMMISSION ON JUDICIAL CONDUCT
18	OF THE STATE OF WASHINGTON
19	
20	By: David Akana, Executive Director
21	P.O. Box 1817
22	Olympia, WA 98507 (360) 753-4585
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28	STATEMENT OF CHARGES - 7